

030804

13146 U.S. PTO

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PTO/SB/05 (03/01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket Number		SHPR-01028USH
		Inventor		Charles E. Taylor
		Title	Air Transporter-Conditioner Device with Tubular Electrode Configurations	
		Express Mail Label No.		EV 375 096 345 US

APPLICATION ELEMENTS See MPEP Chapter 600 concerning Utility Patent Application Contents	ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27) 3. <input checked="" type="checkbox"/> Specification Total Pages <u>22</u> (preferred arrangement set forth below) <input type="checkbox"/> Descriptive Title of the invention <input type="checkbox"/> Cross Reference to Related Applications <input type="checkbox"/> Statement Regarding Fed Sponsored R & D <input type="checkbox"/> Reference to Sequence Listing, a table or computer program listing Appendix <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) (if filed) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim(s) <u>33</u> <input type="checkbox"/> Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) Total Sheets <u>5</u> 5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <u>2</u> a. <input type="checkbox"/> Newly Executed b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 <u>3</u> Total Pages	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above
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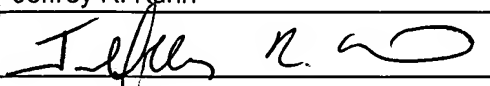
ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (Cover Sheet & Document(s)) _ Total Pages	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/> Information Disclosure Statement ((IDS)/PTO-1449) <u>9</u> Total Pages	<u>0</u> IDS Citations
13. <input type="checkbox"/> Preliminary Amendment _ Total Pages	
14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other	

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10 / 375,734

Prior application information: Examiner: Alexander S. Noguera Group/Art Unit: 1753

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number 23910			or <input type="checkbox"/> Correspondence Address below		
NAME		Fliesler Meyer LLP			
ADDRESS		Four Embarcadero Center, Fourth Floor			
CITY	San Francisco	STATE	CA	ZIP CODE	94111
COUNTRY	USA	TELEPHONE	415/362-3800	FAX	415/362-2928
Name (Print/Type)	Jeffrey R. Kurin			Registration No. (Attorney/Agent)	41,132
Signature				Date	March 8, 2004

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030804

2004 FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,004.00)	Complete if Known Application Number: Continuation of 10/375,734 Filing Date: March 8, 2004 Inventor: Charles E. Taylor Group Art Unit: 1753 Examiner Name: Alexander S. Noguerola Attorney Docket Number: SHPR-01028USH
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Deposit Account Number: 06-1325 Deposit Account Name: Filesler Meyer LLP 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other	3. ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr><td>1051/\$130</td><td>2051/\$65</td><td>Surcharge - late filing fee or oath</td><td style="text-align: right;">[]</td></tr> <tr><td>1052/\$50</td><td>2052/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td style="text-align: right;">[]</td></tr> <tr><td>1812/\$2,520</td><td>1812/\$2,520</td><td>For filing a request for reexamination</td><td style="text-align: right;">[]</td></tr> <tr><td>1251/\$110</td><td>2251/\$55</td><td>Extension for response within first month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1252/\$420</td><td>2252/\$210</td><td>Extension for response within second month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1253/\$950</td><td>2253/\$475</td><td>Extension for response within third month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1254/\$1,480</td><td>2254/\$740</td><td>Extension for response within fourth month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1255/\$1,970</td><td>2255/\$985</td><td>Extension for response within fifth month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1401/\$320</td><td>2401/\$160</td><td>Notice of Appeal</td><td style="text-align: right;">[]</td></tr> <tr><td>1453/\$1,300</td><td>2453/\$650</td><td>Petition to revive unintentionally abandoned application</td><td style="text-align: right;">[]</td></tr> <tr><td>1501/\$1,330</td><td>2501/\$665</td><td>Utility Issue Fee (Or Reissue)</td><td style="text-align: right;">[]</td></tr> <tr><td>1502/\$470</td><td>2502/\$235</td><td>Design Issue Fee</td><td style="text-align: right;">[]</td></tr> <tr><td>1460/\$130</td><td>1460/\$130</td><td>Petitions to the Commissioner</td><td style="text-align: right;">[]</td></tr> <tr><td>1814/\$110</td><td>2814/\$55</td><td>Statutory Disclaimer</td><td style="text-align: right;">[]</td></tr> <tr><td>1806/\$180</td><td>1806/\$180</td><td>Submission of Information Disclosure Statement</td><td style="text-align: right;">[]</td></tr> <tr><td>8021/\$40</td><td>8021/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: right;">[]</td></tr> <tr><td>1809/\$750</td><td>2809/\$375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td style="text-align: right;">[]</td></tr> <tr><td>1801/\$770</td><td>2801/\$385</td><td>Request for Continued Examination (RCE)</td><td style="text-align: right;">[]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[]</td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">(\$ 0)</td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	1051/\$130	2051/\$65	Surcharge - late filing fee or oath	[]	1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	[]	1812/\$2,520	1812/\$2,520	For filing a request for reexamination	[]	1251/\$110	2251/\$55	Extension for response within first month [†]	[]	1252/\$420	2252/\$210	Extension for response within second month [†]	[]	1253/\$950	2253/\$475	Extension for response within third month [†]	[]	1254/\$1,480	2254/\$740	Extension for response within fourth month [†]	[]	1255/\$1,970	2255/\$985	Extension for response within fifth month [†]	[]	1401/\$320	2401/\$160	Notice of Appeal	[]	1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	[]	1501/\$1,330	2501/\$665	Utility Issue Fee (Or Reissue)	[]	1502/\$470	2502/\$235	Design Issue Fee	[]	1460/\$130	1460/\$130	Petitions to the Commissioner	[]	1814/\$110	2814/\$55	Statutory Disclaimer	[]	1806/\$180	1806/\$180	Submission of Information Disclosure Statement	[]	8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	[]	1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	[]	1801/\$770	2801/\$385	Request for Continued Examination (RCE)	[]	Other fee (specify):			[]	Other fee (specify):			[]	SUBTOTAL (3)			(\$ 0)
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Jeffrey R. Kurin	Reg. Number	41,132
Signature		Date	March 8, 2004

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3